

FINANCIAL CRIME CONFERENCE 2006

Asia-Pacific Financial Crime Conference & Exhibition 2006

27 - 28 July 2006 . Raffles City Convention Centre . Singapore

Raising The Bar - A Focus on Case Studies

Programme

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Asia-Pacific Financial Crime Conference 2006
Raising The Bar - A Focus On Case Studies
27-28 July, Raffles City Convention Centre, Singapore

NOTE: NO MEDIA ALLOWED FOR ALL PLENARY SESSIONS AND WORKSHOPS

Time	Day 1 - Thursday, 27 July 2006
9.00 am	WELCOME REMARKS Paul Lawrence, General Manager & CEO, HSBC, Singapore Vice Chairman, The Association of Banks in Singapore
9.15 am	KEYNOTE ADDRESS : Philip Robinson, Financial Crime Sector Leader, Director, Regulatory Transactions, Financial Services Authority (FSA), UK
10.00 am	Tea Break
10.45 am	PLENARY SESSION 1 Risks Associated with the Gaming Industry A topical subject with the advent of Integrated Resorts and Casinos in Singapore! Hear the experts share their knowledge and experience on how criminals seek to exploit the world of gaming. <u>Moderator</u> <ul style="list-style-type: none"> • Raja Kumar, Senior Deputy Director, CAD/Director, Casino Regulations, Ministry of Home Affairs, Singapore <u>Panellists</u> <ul style="list-style-type: none"> • Chong Kin Leong, Executive Vice President, Finance, Genting Bhd, Malaysia • Steve Vickers, President & CEO, International Risk Ltd, Hong Kong
12.00 pm	Lunch - hosted by Credit Bureau Singapore

Navigate

- [Home](#)
- [Programme](#)
- [Reference Materials](#)
- [Speakers](#)
- [Registration](#)
- [Hotel](#)
- [Exhibition](#)
- [Sponsors](#)
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1.30 pm	CASE STUDIES A critical assessment of high profile Financial Crime Cases and lessons to be learned by financial institutions... <u>Chairman</u> <ul style="list-style-type: none"> • David Cope, Detective Chief Inspector, Financial Investigations, Narcotics Bureau, Hong Kong Police. <u>Presenters</u> <ul style="list-style-type: none"> • Kiyotaka Sasaki, Director, Enforcement Division, Securities and Exchange Surveillance Commission (SESC), FSA, Japan • Jacqueline Yao, Senior Inspector, Commercial Crime Bureau, Hong Kong Police • David Caruso, CEO & Managing Director, Dominion Advisory Group, USA 	
3.45 pm	Tea Break	
4.15 pm	WORKSHOP 1 Risks Based Customer Acceptance So much has been said about the "risk based approach" to customer acceptance, but how is it done? Hear the experts share practical solutions and best practice in risk scoring your customers. <u>Moderator</u> <ul style="list-style-type: none"> • Peter Hazlewood, Managing Director & Head, Group Compliance Services & Security, DBS Group Singapore <u>Panellists</u> <ul style="list-style-type: none"> • Mike Trigg, Group Head, Financial Crime Risk, Standard Chartered Bank, United Kingdom (25 mins) • David Chong, Senior Partner, David Chong & Co., Singapore/ Chairman, Portcullis TrustNet Group (25 mins) • David Caruso, CEO & Managing Director, Dominion Advisory Group, USA (25 mins) 	WORKSHOP 2 Emerging Regulatory Trends International cooperation is key in the battle against money laundering and terrorist financing. Stay on top of emerging regulatory developments and more importantly, find out how they affect your job and institution. <u>Moderator</u> <ul style="list-style-type: none"> • Eric Chan, Director, International & Regional, External Dept., Monetary Authority of Singapore <u>Panellists</u> <ul style="list-style-type: none"> • Jeremy Platts, Consultant (AML) Hong Kong Monetary Authority, Hong Kong • Koid Swee Lian, Director, Financial Intelligence Unit, Bank Negara Malaysia • Tan Sin Liang, Compliance Lawyer, SLTan & Co, Singapore
6.00 to 7.30 pm	Networking Cocktail Reception	
Day 2 - Friday, 28 July 2006		
8.30 am	OPENING REMARKS Peter Hazlewood, Managing Director & Head, Group Compliance Services & Security, DBS Group Singapore	
8.40 am	PLENARY SESSION 2 Terrorism Is your institution at risk of being a conduit for terrorism financing? Let our counter terrorism experts explain which terror networks are active in Asia, where they are active and how they fund their activities. <u>Moderator</u> <ul style="list-style-type: none"> • John Fogarty, Head, Compliance, UBS AG, Singapore <u>Panellists</u> <ul style="list-style-type: none"> • Dato Anuar Bashah Mohd Sohore, Bank Negara, Malaysia • Dr Yunus Hussein, Head, Indonesian Financial Transaction Reports and Analysis Centre (INTRAC), Indonesia • Atty Vincente Aquino, Executive Director, Anti-Money Laundering Council, 	

	Banco Sentral de Philippines	
10.10am	Tea Break	
10.30 am	<p>KNOW YOUR EMPLOYEE The Enemy Within Hear more details about real life internal fraud Cases and costly lessons to be learnt from weak controls, negligence and "too much" trust!</p> <p><u>Presenter</u></p> <ul style="list-style-type: none"> • Ian Wong, Assistant Director, Financial Investigation Division, Commercial Affairs Dept., Singapore 	
11.10 am	<p>PLENARY SESSION 3 Identity Theft One of the fastest growing forms of financial crime that is of major concern to consumers. How secure are your processes and systems? Allow our panel of experts to explain the very latest developments in customer authentication solutions and other corporate strategies to safeguard the integrity of the financial services sector and preserve consumer confidence.</p> <p><u>Moderator</u></p> <ul style="list-style-type: none"> • Larry Lam, Executive Vice President, Head of Group Audit, OCBC Bank, Singapore <p><u>Panellists</u></p> <ul style="list-style-type: none"> • Peter Maher, General Manager, Risk Management, Visa International - Asia Pacific, Singapore • Paul Curby, Managing Director, Insight Risk Group Pte Ltd, Singapore • Joe Petro, Executive Vice President and Managing Director, Citigroup Security and Investigative Services (CSIS), USA 	
12.40 pm	Lunch - hosted by World-Check	
2.10 pm	<p>WORKSHOP 3 Risk Assessing Your Organisation For Fraud Understand the harmful practices within organisational culture that may lead to issues like disguised trading losses, false accounting and balance sheet fraud. Our fraud risk professionals will share their views on a systematic approach in conducting fraud risk reviews and how organizations can benefit from pro-active changes to foster a risk-alert culture.</p> <p><u>Moderator</u></p> <ul style="list-style-type: none"> • Paul Curby, Managing Director, Insight Risk Group Pte Ltd, Singapore <p><u>Panellists</u></p> <ul style="list-style-type: none"> • Kiyotaka Sasaki, Director, Enforcement Division, Securities and Exchange Surveillance Commission (SESC), FSA, Japan • Steve Vickers, President & CEO, International Risk Ltd, Hong Kong • Joe Petro, Executive Vice President and Managing Director, Citigroup Security and Investigative Services (CSIS), USA 	<p>WORKSHOP 4 Conducting Effective Investigations A practical workshop aimed at providing guidance on how to establish an effective internal investigation framework within your organisation. A real life Case study will be provided to participants. The three panelists will assume the roles of 'the criminal' 'the internal investigator' and 'the prosecutor' in presenting the Case for you to solve.</p> <p><u>Presenters</u></p> <ul style="list-style-type: none"> • Peter Hazlewood, Managing Director & Head, Group Compliance Services & Security, DBS Group Singapore • David Caruso, CEO & Managing Director, Dominion Advisory Group, USA • Gregory A. Baldwin, Partner, Holland & Knight LLP, Miami, USA
3.40 pm	Tea Break	

4.15 pm	<p>FINAL PLENARY Impact of International Sanctions and Standards on Financial Institutions In the world of global trade, you need to fully understand the risks associated with trade sanctions as the penalties can be enormous. What other banks do or fail to do may affect you drastically... find out what are the possible consequences of non-compliance with international sanctions. Understand the lessons learned in recent cases so that you may take steps to protect your bank's reputation. It is equally important to understand the standards by which international organisations measure AML Compliance. Failure to adhere to these standards can significantly harm your organisation's business interest and call into question the effectiveness of your compliance programme.</p> <p><u>Moderator</u></p> <ul style="list-style-type: none">• David Caruso, CEO & Managing Director, Dominion Advisory Group, USA (ABN AMRO Dubai Case) <p><u>Panellists</u></p> <ul style="list-style-type: none">• Richard Lalonde, Head, Financial Operations Unit, Financial Integrity Group, Legal Department, International Monetary Fund (IMF), USA• Paul O'Hara, Vice President, Asia AML Team Head, JPMorgan Chase, Hongkong
6.00 pm	<p>CLOSING REMARKS Yvette Cheak, MD & Head, Group Ethics & Compliance, BNP Paribas, Singapore</p>

Note:

*TBC: Speaker to be confirmed
Programme as at 25 July 2006*

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